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# CONNECT

#### **INNOVATION INSIDER NEWSLETTER**

The UK Innovate newsletter, Innovation Insider, keeps readers informed about corporate partnerships, innovation training, social innovation, technology commercialization, startups, regional and national programs, grant activity, and events. It provides opportunities to stay connected with the latest news and information.

#### **SOCIAL MEDIA**

Social media is an easy way to stay connected with UK Innovate. Follow us on LinkedIn and Twitter. Watch informational videos on YouTube.



#### **WEBINARS**

ted

OTC and UK Innovate host webinars to keep our community educated on industry resources and programs, technology commercialization, social innovation while providing an opportunity to hear from experts on topics.

#### **WEBSITE**

research.uky.edu/ukinnovate

## **DEAR UK INNOVATION COMMUNITY,**



The years 2021, 2022, 2023 and 2024 were incredibly challenging yet productive for UK. They were a time of change and continued growth. At the outset, UK Innovate did not exist. It was an idea in the minds of UK leadership at the beginning of fiscal year 2021. As the year progressed, expanding UK's innovation and entrepreneurship capabilities became a focal point for discussion and a key strategy for the future. The result was the launch of UK Innovate: an investment in a broader suite of services and programs to support UK innovators and the university's innovation and entrepreneurship ecosystem, which are essential to the future of both UK and Kentucky.

We saw momentum building within the Office of Technology Commercialization (OTC) and

recognized the need to support faculty with innovation training, expand and diversify the innovators we serve with resources for social impact innovations, and strengthen our ability to collaborate with industry in alignment with the state's economic strategy. In response, we launched UK Innovation Training, a new Social Innovation team and UK Innovation Connect to foster research and industry partnerships. As the flagship, land-grant research institution of the Commonwealth of Kentucky, this growth represents an investment in that responsibility.

The pandemic affected research activity—first spiking, then slowing as health protocols necessitated a temporary pause. But research rebounded, bringing renewed energy and appreciation for its importance. At the same time, the spotlight on commercialization became brighter than ever. Our work remains critical to ensuring that life-improving and life-saving discoveries across our campus reach the communities that need them.

The data, success stories, program highlights and growth detailed in this annual report reflect a dedicated team working every day to bring UK research discoveries to market and to lead the developing innovation and entrepreneurship ecosystem of Lexington, Kentucky and the region. It also tells the story of a team committed to expanding access to entrepreneurship support through programs like Social Innovation, Kentucky Commercialization Ventures and Innovation Training.

Enormous opportunities lie ahead for UK and the Kentucky innovation ecosystem. UK Innovate is here to seize them through commercialization, partnerships, social impact and entrepreneurship support.

Sincerely,

lan D. McClure Associate Vice President for Research, Innovation and Economic Impact **Executive Director, UK Innovate** 



"As the state's flagship, land-grant institution, the University of Kentucky exists to advance the Commonwealth. We do that by preparing the next generation of leaders and thinkers to take on today's toughest challenges and lead tomorrow's knowledge-based economy."

Eli Capilouto, University of Kentucky President



# FOUR YEARS OF ACCOMPLISHMENTS

#### **JULY 2020**

OTC supports partnership between UK, Jackson State University and XLerateHealth to help HBCUs Commercialize Ideas

#### **JULY 2020**

OTC participated in Regional Innovations for Startups and Entrepreneurs (RISE) program for the third year

**JANUARY 2021** 

UK, Jackson State University and XLerateHealth's ENRICH program recognized in Forbes

**JUNE 2021** UK among Top 100 Worldwide Universities for Patents

Granted **AUGUST 2021** 

**U.S. Innovation Competitiveness Summit** 

**AUGUST 2021** UK Innovate founding partner of KY Inno

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2023

2024

2021

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MARCH 2022 **UK Innovate launches Innovation Connect** 

**AUGUST 2022** NIH Funds UK, XLerateHealth Partnership

AUGUST 2022 NSF selected UK and 8 regional universities to form new innovation hub

NOVEMBER 2022 UK a strategic partner in new MI2

APRIL 2023 iCorps grant

MAY 2023 NSF Engines grant

- OCTOBER 2023 UK, led by OTC, and partners awarded \$12M to advance biomedical innovation and entrepreneurship
- **JANUARY 2024** UK, led by OTC, partners with George Mason University in First-Of-Its-Kind Award from NSF
- **JANUARY 2024 OneUK Launches**
- MARCH 2024 UK, led by UK Innovate, selected as member of ARPA-H Investor Catalyst Hub network
- 6 UNIVERSITY OF KENTUCKY | Office of Technology Commercialization | UK Innovate

#### **JULY 2020**

OTC partners with Launch Blue to enhance UKAccel Program

#### **AUGUST 2020**

UK joins Promotion & Tenure - Innovation and Entrepreneurship (PTIE) Coalition

- SEPTEMBER 2020 OTC received \$600,000 EDA Grant for Launch Blue
- **FEBRUARY 2021** KCV and ENRICH received "Visionary Awards" for Inclusive Innovation

#### **JUNE 2021**

UK Innovate launched

**SEPTEMBER 2021** Kentucky Intellectual Property Alliance launched

**JANUARY 2022** UK, Partners named Build Back Better Regional Finalists

AUGUST 2022 UK Innovate received EDA University Center grant

• **OCTOBER 2022** UK Innovate launched Innovation Training Micro-Certification program

DECEMBER 2022 IN-PART names UK technology one of the 23 top innovation for 2023, identified by the global R&D

community APRIL 2023

Inaugural Kentucky Innovator Challenge **JUNE 2023** 

Co-partnered with other Kentucky organizations at **BIO** in Boston

- DECEMBER 2023 Invest Blue created through 'Build to Scale' grant awarded to UK, led by Launch Blue, and partners to support Kentucky startups and diversify investors
- FEBRUARY 2024 UK Among Top 100 Worldwide Universities Granted U.S. Patents in 2023
- APRIL 2024 Estate Whiskey Alliance Launches
- **JUNE 2024** UK among top 100 U.S. universities granted utility patents in 2023



n May 2023, the University of Kentucky, with partners in Kentucky and Tennessee, was awarded \$1 million from the U.S. National Science Foundation's Regional Innovation Engines (NSF Engines) program. Advancing Carbon-Centric Circular Economy Technologies for Advanced Manufacturing (KY, TN), is led by a coalition called Generate Advanced Manufacturing Excellence for Change (GAME Change).

Ian McClure, associate vice president for research, innovation and economic impact and executive director of UK Innovate, is the principal investigator on the award with UK as the lead organization.

Working with 13 core partners and 53 collaborating partners, GAME Change focuses on creating a diverse innovation and talent development hub to strengthen U.S. competitiveness in next-generation manufacturing and supply chain logistics. It also aims to support closedcycle manufacturing, reducing waste and increasing efficiencies for selfsustaining economic growth.

GAME Change hosted an inaugural Summit & Workshop at Dale Hollow, Tennessee, in August 2023. This was followed by events in Knoxville, Tennessee, in March 2024, Louisville, Kentucky, in October 2024, and Nashville, Tennessee, in February 2025.

Learn more about GAME Change at gamechangeengine.org





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tor | THE COLLEGE SYSTEM

UNIVERSITY OF LOUISVILLE

THE UNIVERSITY OF TENNESSEE CHATTANOOGA













# 2021-2024 FISCAL YEARS IN REVIEW

FISCAL YEAR 2024					
Innovation Disclosures Submitted	142				
Patent Applications Filed	162				
Patents Issued	43				
Agreements Processed	1,068				
Collaborative Development Agreements	9				
Data Use Agreements	139				
Material Transfer Agreements	568				
Non-Disclosure Agreements	337				
• Other	15				
New Licenses and Options Executed	66				
Distributed to Innovators Since 2010	\$7.7million				
Distributed to Colleges and Departments Since 2010	\$20 million				
Gross Royalty Income	\$4.3 million				

#### FISCAL YEAR 2023

UK Innovation Connect Corporate Engagements	429
Innovation Training Workshop Registrations	253
Innovations Disclosed	114
Primary Social Innovation Disclosures Submitted	23
Patent Applications Filed	161
Patents Issued	32
Agreements Executed (excluding Licenses & Options)	1,230
Agreements Licenses and Options Executed	37
New Startups Formed	5
Gross Royalty Income Received	\$807,906
Distributed to Inventors Since 2010	\$6.7 million
Distributed to Colleges and Departments Since 2010	\$17.9 million

## Innovations Disclosed Primary Social Innovation Disclosures Submitt Patent Applications Filed Patents Issued Agreements Executed (excluding Licenses & O Agreements Licenses and Options Executed New Startups Formed Gross Royalty Income Received Distributed to Inventors Since 2010 Distributed to Colleges and Departments Since

FISCAL YEAR <b>2021</b>						
Innovations Disclosed	97	-				
Patent Applications Filed	161					
Patents Issued	23					
Agreements Executed (excluding Licenses & Options)	1,177					
Agreements Licenses and Options Executed	29					
New Startups Formed	6					
Gross Royalty Income Received	\$2,654,718					
Distributed to Inventors Since 2010	\$6.3 million					
Distributed to Colleges and Departments Since 2010 \$	17.0 million					



## FISCAL YEAR 2022

152 33 Options) 1,237 30 4 \$1,795,014 \$6.6 million				
152 33 Options) 1,237 30 4 \$1,795,014 \$6.6 million				91
33 Options) 1,237 30 4 \$1,795,014 \$6.6 million	ted			8
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4 \$1,795,014 \$6.6 million	Options)			1,237
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\$6.6 million				4
			\$1,	795,014
			\$6.	6 million
	ce 2010			4 million

ANNUAL REPORT | Fiscal Years 2021-2024 9



# THE STORY

In 2021, the Office of Technology Commercialization (OTC) reached record-high levels, significantly increasing annual inventions (100%), patents (400%), licenses (350%) and startups (50%) compared with 2016 data. Driven by OTC's growth and a focus on fostering UK's innovation culture, UK Innovate was launched in July 2021 under the Office of the Vice President for Research to promote

innovative and entrepreneurial activity among UK faculty, staff, students and the community.

UK Innovate was established to sustain the university's momentum in innovation and entrepreneurship while fostering economic and societal impact. Through the initiative services expanded to include corporate partnerships, innovation training and social innovation.

UK Innovate

# Innovation Training

Innovation and Entrepreneurial Training manages programs offering translational research, product development and entrepreneurship training, coaching and mentorship to faculty, staff and students at UK.





# *XLerate***Health**







# ▸ Corporate **Partnerships**

Under corporate partnerships, Innovation Connect provides dedicated resources to support industry, nonprofit and private-sector partnerships for research, innovation and economic development.

# Social Innovation

At the intersection of innovation, social entrepreneurship and transformational change, UK Innovate's social innovation initiatives scale ideas and find solutions to create impact from research to discovery.

# Technology **Commercialization**

OTC collaborates with innovators to strategically assess, protect and license earlystage technologies and co-create new startups.



# INNOVATION CONNECT

Established in March 2022, Innovation Connect serves a bridge between UK and external partners. Innovation Connect fosters collaborations between UK's research enterprise and industry, nonprofits and the private sector to drive innovation, research and economic development in Kentucky and beyond. Innovation Connect is a gateway to maximizing the potential of UK research.

For the UK community, Innovation Connect assists in navigating the complexities of industry engagement, whether exploring partnerships, identifying potential collaborators, launching a new collaboration or seeking support for an existing relationship. Innovation Connect also facilitates on-campus connections between researchers.

For industry partners, Innovation Connect provides access to UK's leading experts, clinicians, staff and students. Whether businesses are seeking specialized expertise, capstone projects, testing capabilities, research collaborations or clinical trials, Innovation Connect links the community to UK's groundbreaking research.

#### Innovation Connect services for UK faculty and staff:

- Collaborative research projects
- · Connections to industry and nonprofit partners
- Consortium support
- Grant programs for translational research
- · Research and innovation events

#### Innovation Connect services for corporate partners:

- Collaborative research projects
- Connections to UK faculty experts and researchers
- Consortium support
- Grant programs for translational research
- Research facility and equipment access
- Research and innovation events
- Strategic alliances
- Student class projects

The Innovation Connect team travels across Kentucky, meeting with corporate partners and hosting events on campus to establish and foster mutually beneficial relationships.

The team hosted the inaugural Kentucky Innovator Challenge on April 11, 2023, with more than 150 attendees. For more information, please see the Engagement section on page 29.

The team launched the Estate Whiskey Alliance in April 2024 at the James B. Beam Institute for Kentucky Spirits conference. The global consortium aims to advance and promote the benefits of local sourcing and production sustainability.

ESTATE WHISKY ALLIANCE<sub>TM</sub>



research.uky.edu/uk-innovate/uk-innovation-connect





Participants at the inaugural Kentucky Innovator Challenge in April 2023.

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CONSTRUCTION CONSTRUCTURE CONST

THE KINNOVATE

DesiCorp Q!

Kim Sayre, Ian McClure, George Ward and Landon Borders during a visit to GE Aviation.

Participants listening to industry present on their needs at the inaugural Kentucky Innovator Challenge.

Holly Symonds Clark, Chelsea Ex-Lubeskie and Landon Borders representing the University of Kentucky and UK Innovate at BIO International.

Walter Mattox, founder and CEO, Gray Solutions presenting at Kentucky Innovator Challenge 2023 with their robotic dog "Spot."

# **INNOVATION TRAINING**

Innovation and Entrepreneurial Training is a key focus of UK Innovate, providing faculty and staff with training to prepare for pre-disclosure research and better understand startup creation. The team manages select ongoing innovation and product development grants and programs led by UK and pursues new projects and strategic partnerships focused on innovation, translational research and entrepreneurship training, coaching and mentorship. These efforts support professional development and cultivate a culture of innovation at UK and throughout Kentucky.

Examples of these programs include the Kentucky Network for Innovation and Commercialization (KYNETIC), a National Institutes of Health (NIH) REACH program, and the XLerator Network, funded by the National Institute for General Medical Sciences (NIGMS).

Between fiscal years 2021 and 2023, UK Innovate developed and launched the Micro-certification program,

Innovation

Workshop

**Training** 

which utilizes experiential design theory and mentorship programming to assist researchers in understanding their role in advancing science, commercialization and community impact.

#### **Micro-certification objectives:**

- · Understand the evolving role of university researchers and their impact on the economy and society.
- · Learn the design thinking process for innovation and research impact by tackling challenges from idea to validation.
- · Apply design thinking methods to develop humancentered solutions for unmet societal or economic needs.

The micro-certification consists of five impact workshops and five implementation workshops, culminating in certification upon completion.

## **IMPACT WORKSHOPS**

- **100.** Explore the Growth Mindset
- **110.** Building Impact Research
- **120.** Impact Design Plan
- 130. Engaging Stakeholder
- **140.** Entrepreneurial Research Eco-System



# **MICRO-CERTIFICATION**





# IMPLEMENTATION **WORKSHOPS**

- **150.** The Idea to Enterprise Strategy
- 160. Protecting Your Idea, Before and After Validation
- **170.** Commercialization in Education, Social Sciences, Humanities and Arts
- **180.** Who's Writing the Checks?
- **200.** Data in Today and Tomorrow's Companies



# **OFFICE OF TECHNOLOGY** COMMERCIALIZATION

ver the last four fiscal years, the Office of Technology Commercialization (OTC) has implemented changes and expanded its services.

In April 2021, Taunya Phillips was named director of OTC, and the office was restructured. The new structure includes Matt Upton, senior associate director, leading the Intellectual Property Development Team; Eric Hartman, senior associate director, leading the Commercialization and Launch Blue Teams; Serenity Wright, associate director, leading the Social Innovation Team; and Eric Castlen, senior associate director, leading the Contracts Team.

## During this time, the OTC has



The past **FOUR YEARS** have witnessed constant activity, including collaborations with UK researchers, forming partnerships and planning and executing events.

At the start of fiscal year 2021, OTC partnered with XLerateHealth and Jackson State University (JSU), with support from the XLerator Network, to launch Engaging Researchers and Innovators for Commercialization at HBCUs (EnRICH).

# *XLerate***Health**



In October 2020, OTC was awarded a three-year. **\$600,000** grant from the Economic Development Administration (EDA) Build to Scale Program, funding the expansion of Launch Blue. In the early FY 2021 Launch Blue assumed management of the UKAccel Program to create a more robust offering. Under Launch Blue's leadership, the program grew and was renamed UAccel in FY 2022.





**Kentucky Commercialization Ventures** 

In June 2021, the National Academy of Inventors (NAI) and the Intellectual Property Owners Association (IPO) named UK a Top 100 Worldwide University for Patents Granted. A member institution of NAI, UK ranked 96th with 29 U.S. utility patents granted in 2020.

. . . . . . . . . . . . . . .

**UK technology** named one of the **23** T innovations for 2023 as identified by the global R&D community

OTC hosts its signature event Patent Paloozal each fall to recognize and celebrate UK innovators. It also hosts the annual UK Women Innovators Network event in March during Women's History Month.

# OTC was awarded a **THREE-YEAR** \$600,000 grant from the

**Economic Development Administration** 

**OTC** is a founding partner in EnRICH and Kentucky Commercialization Ventures (KCV). Each received a \$25,000 prize in the Lab-to-Market (L2M) Inclusive Innovation Ecosystem Prize Competition in the "Visionary" category in FY 2022. KCV, along with the XLerator Network-OTC's Southeast IDeA Hub funded by the National Institute of General Medical Sciences (NIGMS)-also won prizes from the U.S. Small Business Administration (SBA) that year. KCV received a \$50,000 Growth Accelerator Fund Competition award, and the XLerator Network received a \$150,000 Small Business Innovation Research Catalyst prize.

# **TOP 100 Worldwide University for** Patents Granted in June 2021

**IN-PART**, which connects academia and industry, named UK technology one of the top 23 innovations for 2023 as identified by the global R&D

community. The technology, titled "Generation of Hydrogen by Thermal Hydrolysis of Sodium Borohydrides," was developed by Hyun-Tae Hwang and Geo-Jong Kim from the Department of Chemical and Materials Engineering in the College of Engineering.



# SOCIAL INNOVATION

Activities, initiatives and action for sustained social impact.

Growing

Approach

ocial Justic

Sustained Social Impact Shifts in Generation Change

dvancing Innovation High Potential for Social Impact

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#### Examples of social innovation: Apps, Aquaponics, Clothing Lines, Comic Books, Curriculum, Farm to Table, Games, Programs, Regenerative Farming, Sustainable Energy, Training, Workshops

SUBMITTED

# **INNOVATION SPOTLIGHTS:**



# **Villainous Vape**

**INNOVATORS:** Melinda Ickes, Ph.D. is Director of Graduate Studies and Associate Professor in the Department of Kinesiology and Health Promotion in the College of Education and Joel Thompson, Ph.D. is the Research Development Director and Pilot Funding Project Manager in the Center for Clinical and Translational Science.

Ickes and Thompson created the Villainous Vape comic book to communicate research on e-cigarette use among youth and emerging adults. The comic book follows a college student dealing with their dependence on e-cigarettes. It provides a practical and empowering addition to youth e-cigarette education and empowerment.

# **BRIEF Health Lessons**

INNOVATORS: Charles Carlson, Ph.D., retired from Psychology in the College of Arts and Sciences, Audrey Darville, co-director of the Tobacco Prevention & Treatment Division with the BREATHE research team in the College of Nursing, Angela Grubbs, DNP, Assistant Professor in the College of Nursing, Craig S. Miller, DMD, faculty in College of Medicine (formerly College of Dentistry), and Julie Plasencia, Ph.D., RDN, LD, Associate Professor and Director of the Didactic Program, Dietetics and Human Nutrition in the Martin-Gatton College of Agriculture Food and Environment.

BRIEF (Brief, Regular, Integrated, Energetic, and Fun) Health Lessons is an engaging tool developed for thirdgrade children. The lessons aim to provide a stimulating experience by offering a series of fun, integrative message cards for teachers to use. Most cards contain a health message, a question and an activity that can be completed in about five minutes.



**LEARN MORE** 



# Launch Blue

Launch Blue is dedicated to growing Kentucky's innovation and entrepreneurial ecosystem. The initiative partners with stakeholders across the Commonwealth and beyond to foster an environment where emerging technologies and social solutions reach their full potential, advancing UK's mission to strengthen Kentucky's innovation economy.

UAccel QuickStart & I-Corps Program UAccel is a virtual 12-week, two-phase program offering professional development and experiential learning for innovators in higher education and earlystage startup founders.

**Phase I:** UAccel QuickStart introduces lean startup methods to help innovators identify the best commercialization pathway for their technology.

**Phase II:** I-Corps builds on UAccel QuickStart, preparing innovators for federal grants, startup formation or the National I-Corps Program.

The U.S. National Science Foundation's Innovation Corps (I-Corps<sup>™</sup>) program is an immersive entrepreneurial training initiative transforming invention into impact. UK is a member of the NSF Mid-South I-Corps Hub.

# 9/ Teams served in UAccel \$14 million

in Grant funding raised by UAccel teams\*

> **9** Patents issued to UAccel teams\*

# 12

Licenses executed by UAccel teams

# 8

UAccel teams accepted into National I-Corps

\*Data gathered Oct. 2023–Sept. 2024 for FY 2024



"Prior to UAccel, our team had no experience with customer discovery, nor did we understand

how our technology fits within the broader commercial landscape. The program provided us with a fantastic opportunity to learn and put to practice new skills that we continue to build on as we decide on the best path forward for our technology."

**Chad Risko, Ph.D.** Associate Professor of Chemistry, College of Arts and Sciences

#### **Pre-Seed Accelerator Program:**

The Pre-Seed Accelerator is a virtual 12-week program that trains startup founders in lean startup practices while helping them develop a scalable, repeatable business model. Startups set weekly goals to gain stage-appropriate traction and build their investor pipeline.

> 25 Startups headquartered in Kentucky

## 8 Startups headquartered

**outside Kentucky:** California, Indiana, Illinois, Massachusetts, Michigan, New Jersey, New York & North Carolina

> **26** Startups served in pre-seed accelerator

**15** Startups raised pre-seed capital\*

31 Startups raised seed capital

**14** Startups are post revenue

O Startups transitions from UAccel to pre-seed accelerator

\*Data gathered Oct. 2023–Sept. 2024 for FY 2024



"Launch Blue has been the most helpful professional experience I've had in many years. There's a very personal, human touch with the coaches and mentors involved with Launch Blue. A

culture has been cultivated where everybody wants everybody to win, even though there are multiple groups and investors in this tiny startup ecosystem here in Kentucky. #ItsNotBinary, everyone wants everyone to win."

> **Mandy Ralston** CEO and Founder, NonBinary Solutions



Launch Blue Ambassador Program Launch Blue's Ambassador Program provides realworld career preparation in startups, higher education, tech writing, commercialization, finance, venture capital and angel investing. Ambassadors gain handson experience in commercialization, investment, marketing and communications. Ambassadors build confidence and highly desirable skills, preparing them for successful careers.

Launch Blue has engaged 15 undergraduate students in the Ambassador Program.

Connect with Launch Blue: Email: x@launchblue.org Website: LaunchBlue.org

#### **Social Media:**

- in linkedin.com/company/launch-blue
- X @launchblueky
- @launchblueky
- I /LaunchBlue

# **TECHNOLOGY SPOTLIGHTS**



#### FY 2021

Apple Watch App System to Monitor Bone **Marrow Transplant Patients INNOVATORS:** 

MING-YUAN **CHIH**, College of Health Sciences GERHARD HILDEBRANDT, College of Medicine YONGWOOK SONG, Center for Visualization & Virtual Environments

This mobile and wearable system supports bone marrow transplant patients in their recovery journey by promoting physical activity, improving communication with providers and boosting motivation for recovery. The app provides key services addressing patients' most pressing needs and filling a gap in the market.

#### IR 2556: Dual-Color CsPbBr3 Nanocrystals **Prepared by Water INNOVATORS:** XIAOBING TANG, Stanley and Karen Pigman College of Engineering

FUQIAN YANG, Stanley and Karen Pigman College of Engineering

This technology provides a sustainable method to produce all-inorganic CsPbBr3 perovskite nanocrystals (PeNCs) on a large scale by replacing toxic organic solvents with deionized water. The process, which uses ultrasonication at room temperature instead of high temperatures, enhances optical stability while eliminating pollutants. This innovation advances the sustainability and scalability of perovskite nanocrystals.

#### **FY 2022**

IR 2654: Zafirlukast Treatment for Cancer **INNOVATORS:** SYLVIE GARNEAU-TSODIKOVA, College of Pharmacy

Zafirlukast compounds have been identified as dual inhibitors of arterial and venous thrombosis, specifically targeting thiol isomerases like PDI, ERp5, ERp57 and ERp72. This novel treatment combats cancer-induced thrombosis without increasing bleeding risk and may also serve as an antineoplastic or chemotherapeutic agent. It offers a comprehensive solution to improve cancer treatment by reducing medication regimens and side effects.

#### **IR 2638: CNS Modulators as COVID Therapeutics INNOVATORS:**

LINDA P. DWOSKIN, College of Pharmacy JILL REBECCA TURNER ORTINSKI, College of Pharmacy

UK researchers discovered that NMDA receptor antagonists, including ifenprodil and memantine, block respiratory depression and prevent neuropathology and morbidity caused by SARS-CoV-2 infection. These neuroprotective drugs may significantly reduce mortality and morbidity when repurposed as COVID-19 treatments.

#### **FY 2023**

IR 2329 & 2648: Silicone Based Human-Like **Artificial Skin with Instructional Videos INNOVATORS:** 

DESHANA COLLETT, College of Health Sciences SAMUEL **POWDRILL**, College of Health Sciences

This innovation involves a layering method to create a silicone-based, human-like artificial skin and muscle simulation model. It supports a variety of suture techniques, intramuscular and subcutaneous injections, abscess creation and skin flap manipulation for wound closure training. The model mimics real skin and tissue response, with customizable hardness levels. Instructional videos supplement the model, providing guidance on wound closure techniques.

#### IR 2740, 2752 and 2759: 3D Microfluidic **Intracellular Delivery Device INNOVATORS:**

GUIGEN **ZHANG**, Stanley and Karen Pigman College of Engineering SHENG TONG, Stanley and Karen Pigman College of Engineering

This 3D microfluidic transfection device provides a highthroughput, clog-free platform capable of delivering up to 100 million cells per minute per channel with consistent results at the individual cell level. The technology offers a gentler, more efficient approach to transfection, eliminating manual pipetting steps and reducing costs. By enabling scalable and compliant cell therapy production, it supports advancements in cancer treatment and other genetic, metabolic and infectious diseases.

# NAI FELLOWS FY 2021-2023

"The NAI Fellows Program was established to highlight academic inventors who have demonstrated a prolific spirit of innovation in creating or facilitating outstanding inventions that have made a tangible impact on quality of life, economic development and the welfare of society. Election to NAI Fellow status is the highest professional distinction accorded solely to academic inventors."

#### **FY 2024**

#### IR 2750 The Graham Test

**INNOVATORS:** 

MICHAELA KEENER, College of Health Sciences KIMBERLY TURNLIN, College of Public Health

The Graham Test is a portable hardware system designed for sports and occupations that require balance and guick decision-making. Using a wearable interactive sensor, it accurately measures balance and reaction time. Its sensitivity, reliability and user-friendly design make it an effective tool for concussion assessment, helping individuals safely return to activities requiring these essential skills.

#### IR 2526 RNAi for Control of Japanese Beetle **INNOVATORS:**

RAMESH KUMAR DHADAPANI, Martin-Gatton College of Agriculture, Food and Environment Subba Reddy Palli, Martin-Gatton College of Agriculture, Food and Environment

This technology uses RNA interference (RNAi) to control Japanese beetle infestations by silencing targeted genes, preventing feeding and ultimately killing the insect. Applied to American linden trees or rose shrubs, this approach specifically targets Japanese beetles without harming other organisms, providing an environmentally friendly pest control solution.

2017 YANG-TSE CHENG **College of Engineering** 

2019 KUNLEI LIU **College of Engineering** (formerly Center for Applied Energy Research when award was received)

2021 JOSEPH CHAPPELL **College of Pharmacy**  2022 LINDA DWOSKIN **College of Pharmacy** 

2022 CHANG-GUO **ZHAN College of Pharmacy** 

2024 DIBAKAR BHATTACHARYYA **College of Engineering** 



#### Licenses and **Options for** FY 2021

#### Aikido Pharma, Inc.

Vinod Kasam Dong-Eun Kim Kyung Bo Kim Do-Min Lee Na-Re Lee Wooin Lee Zach Miller Chang-Guo Zhan

#### Arisu Therapeutics, Inc.

Deepak Bhattarai Kyung Bo Kim Min Jae Lee Zachary Miller

#### Avast Therapeutics, Inc. (2) Luke H. Bradlev

Don M. Gash Greg A. Gerhardt

#### BunkerHill Health, Inc.

Nathan Jacobs Gongbo Liang Xiaogin Wang

**Cellie Coping** Company, LLC Meghan Marsac

#### Cinsano Pharma, Inc.

Rodney Kip Guy Jared Hammill Hoshin Kim

**Clear Scientific, Inc.** Chang-Guo Zhan

#### Empire Discovery Institute Vitaliy Sviripa David Watt

#### Enepret, Inc.

Joseph Chappell Tim Devarenne Scott Kinison Tom Niehaus Shigeru Okada David Watt Shuigin Wu Xun Zhuang

#### **FLITE Material** Sciences Corp. Tristana Duvallet Robert Jewell Anne Oberlink Thomas Robl

GreenLight **Biosciences**, Inc. Ramesh Dhadapani Subba Palli

Hidabroot Yehudit Brent Seales

#### HuMed, Technologies Inc.

Dipti Biswal David Cochran **Thomas Dziubla** J. Zach Hilt Carolyn Jordan Nihar Manilal Shah Paritosh P. Wattamwar

Illinois Tool Works, Inc. YuMing Zhang

LEMSMENKEM, LLC (3) Robert Lodder

Menofia University Nora Warshawsky

**Ovid-Verlag** Steve Bailey

ParaTechs Corp. Barbara Knutson Stephen Rankin Bruce Webb

**PowerTech Water, Inc.** Xin Gao James Landon Kunlei Liu Avokunle Omosebi

**Stryker Corporation** Clay Larkin Florence Lima Madhumathi Rao

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VerraGlo, LLC William Boatright

Wild Dog Physics, LLC Janelle Molloy

**XLerateHealth, LLC** Ian McClure

Licenses and **Options for FY 2022** 

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#### AmDx PrognostX, Inc.

Florin Despa Larry Goldstein Kenneth Margulies Nirmal Verma

Antech Diagnostics, Inc. Amanda Adams

Arisu Therapeutics, Inc. Kyung Bo Kim

Audio Visual Preservation Solutions, Inc. Douglas Boyd

**AVA Surgical Technologies**, LLC Kyle Murphy

Avast Therapeutics, Inc. (2) Luke H. Bradley Don M. Gash

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**Bluegrass Advanced** Materials, LLC

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#### **Carbon Science**

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Children's Hospital

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**Empire Discovery** Institute. Inc. Vitaliy Sviripa

David Watt

#### Enepret, Inc.

Joseph Chappell **Tim Devarenne** Scott Kinison Tom Niehaus Shigeru Okada Shuigin Wu Xun Zhuang

Enzyme Therapy, Inc.

Xiabin Chen Hoon Cho Hsin-Hsiung Tai Chang-Guo Zhan Fang Zheng

**HCA Healthcare** Nora Warshawsky

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**Neocycl Holdings, Inc.** Joshua Werner

Nora Warshawsky

Nooma Bio Inc.

Tyler Huber Shanteri Singh Jon Thorson Jianjun Zhang

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PhoenixNMR, LLC

Eric J. Munson Matthew J. Nethercott

**Revolution NMR, LLC** Eric J. Munson Matthew J. Nethercott

**Trane Technologies Company, LLC** Tingwen Wu

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**Veterans Health** Administration Nora Warshawsky

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#### **VivaMed Stimulant** Solutions, LLC Craig Rush

X Met, LLC Joshua Werner

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**AVA Surgical Technologies**, LLC Kyle Murphy

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**Chan-Chuan Fang** Nora Warshawsky

**CircCure Corporation** Stefan Stamm Justin Welden

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#### Licenses and **Options for FY 2024**

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#### ArtemiFlow GmbH

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#### **Bioptics Technology, LLC**

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#### Bluegrass

Pharmaceuticals, Inc. Ahmed K. Abdel-Latif Ahmed Al-Darraii David Feola John Gensel Abdullah A Masud Julian Mory David Nardo Vincent J. Venditto

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Louisiana State University **Health Science Center** John Lyons

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Todd Burus Pamela Hull Lee Park

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**University of Turku** Nora Warshawsky

Vanderbilt University **Medical Center** Nora Warshawsky

Vermont Department of **Mental Health** John Lyons

**VesiCure Technologies** Corporation Jill M. Kolesar Christopher I. Richards

Virginia Polytechnic Institute and State University Jennifer Hunter

Voices of Hope -Lexington Jennifer Hunter

Wake Forest University **Baptist Medical Center** Todd Burus Pamela Hull

Lee Park

#### Wayne State University

Todd Burus Pamela Hull Lee Park

Yushuang Chen

Nora Warshawsky

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10,738,328 - Method and system for terpene production platforms in yeast Joseph Chappell, Wu Shuiqin, Xun Zhuang

10,755,146 - Network architecture for generating a labeled overhead image Nathan Jacobs, Scott Workman

10,772,940 - Cocaine hydrolase-FC fusion proteins for cocaine and methods for utilizing the same Hsin-Hsiung Tai, Chang-Guo Zhan, Fang Zheng

10,773,330 - Measurement of threedimensional welding torch orientation for manual arc welding process YuMing Zhang

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10,793,450 - Potential of zero chargebased capacitive deionization Xin Gao, James Landon, Kunlei Liu, Avokunle Omosebi

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the same

**11.155.897** - Low-cost selective precipitation circuit for recovery of rare earth elements from acide leachate of coal waste Rick Q. Honaker, Wencai Zhang

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11,207,614 - Single stage clarifier and mixing assembly Joshua Werner

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A. Odom

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#### Matthew S. Gentry, Craig W. Vander Kooi

## Andrew Bell, Paras Vora

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Jayakrishna Ambati, Kameshwari Ambati, Benjamin Fowler

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Joseph Eckenrode, Cai-Xia Hou, Markos Leggas, Anhisek Mandal, Prithiba Mitra, Jurgen Rohr, Oleg Vyacheslav Tsodikov

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Kai Ding, Chang-Guo Zhan, Fang Zheng, Ziyuan Zhou

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11,326,138 - Cell culture device and methods of use thereof Christine A. Trinkle, Soroosh Torabi, Ren Xu

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11,466,045 - Mithramycin oxime derivatives having increased selectivity and anti-cancer activity Markos Leggas, Khaled Attla Shaaban Mahmoud, Jurgen Rohr, Jon S. Thorson, Jianjun Zhang, Yinan Zhang

11,473,070 - Increased polypeptide production yields of butyrylcholinesterase polypeptides for therapeutic use Chang-Guo Zhan, Fang Zheng

**11,484,860** - Apparatus and method for enhancing yield and transfer rate of a packed bed Bradley D. Irvin, Kunlei Liu, Roger S. Perrone

11,504,335 - Increased cell retention in diseased site when cells encapsulated in gelatin methacrylate and polyethylene glycol diacrylate hydrogels Ahmed K. Abdel-Latif, Bradley J. Berron, Anuhya Gottipati, Irina Kalashnikova

11,535,592 - Antimicrobial compounds, compositions, and method Sylvie Garneau-Tsodikova, Octavio Alberto Gonzalez

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11.560.334 - Concrete repair coating Tristana Duvallet, Robert B. Jewell, Anne E. Oberlink, Thomas L. Robl

**11.560.559** - Inducing production of full-length progranulin (GRN) from nucleotides including mutations containing a premature stop codon (PTC) Haining Zhu

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11,602,535 - Compositions and methods for treating retinal degradation Jayakrishna Ambati, Benjamin Fowler

11,623,316 - Testbed device for us in predictive modelling of manufacturing processes Julius Schoop

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11,651,319 - Innovative manufacturing methods for next-generation products, processes, and systems Ryan Bradley, Ibrahim S. Jawahir

11.660.269 - Liposomal compounds and methods of use thereof Ahmed K. Abdel-Latif, Ahmed Al-Darraji, David Feola, John Gensel, Vincent J. Venditto

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11,730,750 - Drugs for GRP78 cell surface translocation and Par-4 secretion Ravshan Burikhanov, Vivek M. Rangnekar, David S. Watt

11.761.937 - Apparatus and method for trace gas detection utilizing unmanned aerial vehicles Marcelo I. Guzman, Travis J. Schuvler

**11,773,401** - bZIP transcription factors regulate conversion of nicotine to nornicotine Darlene Madeline Lawson, Sitakanta Pattanaik, Sanjay K. Singh, Ling Yuan

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11,793,829 - Development ofsspolylysine:epigallocatechin-3o-gallate and dsRNA polyplexes for control of mosquitoes Ramesh Dhadapani, Subba Reddy Palli

11,795,189 - Formulation and method for spray-drying D-tagatose **Heather Campbell** 

11,807,859 - Alteration of tobacco alkaloid content through modification of specific cytochrome P450 genes Steven Bown, Ralph Dewey, Lily Gavilano, Balazs Siminszky

resection Pittman, Guogiang Yu

11,819,799 - Compact absorption column for CO2 capture Kunlei Liu, Heather Nikolic

11,821,054 - Method for recovering valuable elements from precombustion coal-based materials Rick Q. Honaker, Wencai Zhang

11,827,718 - Antibodies for binding pathologic forms of calcineurin Jenna Gollihue, Rodnev Guttman, Susan D. Kraner, Christopher M. Norris

thereapeutic, method of manufacture, and use Raymond T. Bartus Sr., Luke H. Bradley, Don M. Gash, Greg A. Gerhardt

11.851.391 - Antifungal compounds and methods of forming the same Nishad Thamban Chandrika, Svlvie Garneau-Tsodikova, David S. Watt

11,857,914 - Electrochemical apparatus for acid gas removal and hydrogen generation Reynolds Frimpong, Xin Gao, Kunlei Liu, Ayokunle Omosebi

**11,883,409** - Protection of cells from degeneration and treatment of geographic atrophy Jayakrishna Ambati

11,890,490 - Quality assurance device with passive optical component and remote camera Dennis Cheek, Quan Chen, Janelle Molloy

11,920,039 - Malachite green radiochromic compounds and radiation sensing systems incorporating the

compounds Washington

11,932,557 - Detection and extraction of plastic contaminants within water using hydrophobic deep eutectic solvents Jameson Hunter, Wengi Li, Qing Shao, Jian Shi, Yuxuan Zhang

11,813,118 - Loupe-based intraoperative fluorescence imaging device for the guidance of tumor

Chong Huang, Nick McGregor, Thomas

11.833.185 - Anti-neurodegenerative

John E. Anthony, John Bobbitt, James Nicholson, Brent Peters, Aaron

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11,938,443 - Hybrid post-combustion CO2 capture system and method Kunlei Liu

11,964,028 - RNA nanoparticles and method of use thereof Peixuan Guo, Hui Li, Wei Luo

11,964,172 - Quality assurance device for a medical accelerator Dennis Cheek, Quan Chen, Janelle Molloy

11,980,687 - Liposomal compounds and methods of use thereof Ahmed K. Abdel-Latif, Ahmed Al-Darraii, David Feola, John Gensel, Vincent J. Venditto

11,984,046 - Surgical skills training model **DeShana Collett, Samuel Powdrill** 

11.986.464 - 5HT3R antagonist for use in treating aneurysms and cardiovascular risk Yasir Alsirai, Eric M. Blalock, Lisa A. Cassis, Mark Ensor, Sean Thatcher

11,987,564 - PTPRD inhibitors and uses thereof Ian Henderson, Thomas Edward Prisinzano, George Uhl, Wei Wang

**11,998,547** - Compositions and methods for treating multiple sclerosis Jayakrishna Ambati, Kameshwari Ambati, Benjamin Fowler

**11,999,676** - Vesicular monoamine transporter-2 ligands and their use in the treatment of psychostimulant abuse Peter A. Crooks, Derong Ding, Linda P. Dwoskin, Rodney Kip Guy, Jared Hammill, Stefan Kwiatkowski, Na-Ra Lee, Markos Leggas, Jon S. Thorson, David S. Watt, Guangrong Zheng

11,999,803 - Proteasome inhibitors Kyung Bo Kim

12,019,081 - Method for detection and quantification of CLN3 protein Beatrix M. Ueberheide, Qingjun Wang



# PROGRAMS

#### **KYNETIC**

The Kentucky Network for Innovation and Commercialization (KYNETIC) is part of the Innovation and Entrepreneurship Training focus of UK Innovate. The program, funded by National Institutes of Health's (NIH) Research Evaluation and Commercialization Hub (REACH) program, supports faculty, staff, trainees and students who want to accelerate the introduction of their groundbreaking innovations to the marketplace to address unmet needs to benefit human health. The KYNETIC hub is led by UK, University of Louisville and the Kentucky Cabinet for Economic Development, with support from Kentucky Commercialization Ventures.

# **STARTUP SPOTLIGHTS**

# 

#### Cellie Coping Company, LLC

Meghan L. Marsac, Ph.D., is an assistant professor of pediatrics in the UK College of Medicine, a pediatric psychologist at UK HealthCare and CEO of the UK startup Cellie Coping Company. The company develops Cellie Coping Kits to support children and their families after a medical diagnosis by providing communication techniques and coping strategies. Each kit includes a toy named Cellie, a booklet for caregivers and flashcards for kids.

celliecopingcompany.com



#### **PhytoGenesis LLC**

Aardra Kachroo, Ph.D., and Pradeep Kachroo, Ph.D., professors in the plant pathology in the Martin-Gatton College of Agriculture, Food and Environment, are co-founders of PhytoGenesis LLC. The startup was founded to commercialize a technology that enhances crop protection by activating the plant's defense system, known as systemic acquired resistance. This environmentally friendly product provides lifetime protection with a single application.

#### phytogenesis.com

## **UKPITCH**

UKPitch supports UK researchers in pitching their research, technology, intellectual property or startup at competitions, conferences and similar events. The program provides an opportunity for researchers to present their innovations in settings that foster commercialization and entrepreneurship. Several UK researchers have leveraged UKPitch to showcase their technology or startup at events like BIO on the Bayou and other conferences.





# Kentucky Network for Innovation and Commercialization



### Mentors-in-Residence (MIR)

The Mentor-in-Residence (MIR) program to connect subject matter experts with UK Innovators, providing coaching and guidance on transforming their technology into viable businesses, products or services. Over the past three years, experts have been engaged to support UK Innovators through the program.



# **PARTNERSHIPS**



#### **BIO International**

UK Innovate staff collaborated with other Kentucky organizations to develop a Kentucky-focused booth at the 2023 International BIO Conference. Representatives from UK, Commerce Lexington, Kentucky Commercialization Ventures, University of Louisville, UPS and others participated. The Health Network in Louisville led this effort to establish a strong Kentucky presence at the conference.



Engaging Researchers and Innovators for Commercialization at HBCUs (ENRICH) As part of the XLerator Network, an NIHfunded initiative led by XLerateHealth, UK partnered with Jackson State University to launch ENRICH. This program is designed for faculty and student innovators at HBCUs in all IDeA-eligible states, encompassing 25 HBCUs. ENRICH provides underrepresented minorities with access to expert knowledge, entrepreneurship best practices, professional networks, mentorship and additional resources.



#### Kentucky Commercialization Ventures

#### Kentucky Commercialization Ventures (KCV)

UKPitch supports UK researchers in pitching their research, technology, intellectual property or startup at competitions, conferences and similar events. The program provides an opportunity for researchers to present their innovations in settings that foster commercialization and entrepreneurship. Several UK researchers have leveraged UKPitch to showcase their technology or startup at events like BIO on the Bayou and other conferences.

#### **KY Innovation Hubs**

UK Innovate partnered with Awesome Inc and other local organizations to create StartupLEX, an entrepreneur-focused community that fosters growth, education and a culture of giving. The initiative is supported by a grant from KY Innovation, part of the Cabinet for Economic Development.

#### IDeA Regional Entrepreneurship Development (I-RED) Program

XLerateHealth (XLH), a national healthcare accelerator based in Louisville, Kentucky, in partnership with UK Innovate, has been awarded the first phase of a potential \$3.25 million multiyear grant from the National Institutes of Health (NIH) through the IDeA Regional Entrepreneurship Development (I-RED) Program. This grant supports the development and launch of experience-based entrepreneurship and commercialization training tools tailored to academic institutions across the Southeast U.S., benefiting faculty, researchers, innovators and students.

Since 2018, XLH and UK have led this effort through the XLerator Network, an NIH-funded partnership promoting the commercialization of life science and healthcare innovations across 25 academic institutions in the Southeast IDeA states, including Kentucky, Arkansas, Louisiana, Mississippi, South Carolina, West Virginia and Puerto Rico. The NIH I-RED award enables XLerator Network participants to expand prior work by developing, launching, testing and validating entrepreneurship education and training tools that help translate biomedical discoveries into commercial products.



#### **Patent Palooza!**

Patent Palooza! is our signature yearly event that recognizes and celebrates UK innovators for successful intellectual property (IP), commercialization deals, SBIR/STTR awards and completion of entrepreneurship programs.

In November 2021, we celebrated the accomplishments of UK innovators for FY 2019, FY 2020 and FY 2021, which were delayed due to COVID. We were excited to celebrate and recognize UK faculty innovators at the FY 2022, 2023 and 2024 event.



## KENTUCKY INNOWATOR CHALLENGE

Coming together to solve future-forward problems for Kentucky!

#### Kentucky Innovator Challenge (KIC)

In April 2021, UK Innovate hosted the inaugural Kentucky Innovator Challenge (KIC), bringing together leaders from businesses, service organizations and higher education for a one-day summit to identify Kentucky's most pressing challenges and explore collaborative solutions through research and innovation.

The first event featured three tracks, two federal funding presentations, 11 industry presentations, two keynotes, and over 150 attendees. Industry presenters delivered 15-minute TED-style talks.

The event is held annually in April.



#### Metals Innovation Initiative (MI2)

In 2022, UK became a strategic partner in the Metals Innovation Initiative (MI2), a Kentucky-based nonprofit. MI2 "provides collaborative, industry-led executive leadership to attract and promote advanced research, sustainability, commercialization and talent development in Kentucky's metal industry and, ultimately, for Kentucky to be seen as the preeminent destination for metals innovation," according to their website.

#### Promotion & Tenure – Innovation and Entrepreneurship (PTIE) Coalition

Ian McClure, Associate Vice President for Research, Innovation and Economic Impact, was the co-author of a study published in Science (September 17, 2021). "The recommendations proposed in this paper help institutions consider how they might remove bias in the tenure and promotion review process to more fully assess and value entrepreneurial, innovative endeavors that deliver the kinds of societal impacts universities are increasingly being called on to provide," said McClure.







#### UK Women Innovator's Network (UKWIN)

The UK Women Innovator's Network (UKWIN) program aims to increase the number of UK women engaged in innovation by preparing them to take on leadership roles at every stage of the commercialization process. Each year, UKWIN hosts an event in March during Women's History Month.



# **UK INNOVATE STAFF AWARDS**

# **EXEMPLARY STAFF RELATIONSHIPS** AWARD

The staff person who best represented UK Innovate's mission statement and actively exhibited the UK Innovate Operating Model in all work activities.

The winner is selected by the UK Innovate Leadership Team.



2023 RECIPIENT NATALIE DAMRON MCCORMICK

Senior Contracts Coordinator Office of Technology Commercialization



### 2023 RECIPIENT ERIN SHEA

2022

RECIPIENT

KENDRA STENZEL

Office of Technology

Commercialization

**Commercialization Manager** 

I-Corps Program Manager Launch Blue



AWARD

created value to UK Innovate

The staff person who best exhibited the UK Innovate Operating

Model principle to put relationships on a pedestal and built and

maintained relationships with others outside the office that

The winner is selected by the UK Innovate Staff.

#### 2023 RECIPIENT SERENITY WRIGHT Associate Director of Social Innovation Office of Technology Commercialization

2022 RECIPIENT LAURA HALLIGAN New Ventures Manager Office of Technology Commercialization



### AWARD The staff person who best exhibited the UK Innovate Operating Model principle to provide exemplary customer service to others outside the office in their work responsibilities.

**SERVICE** 

The winner is selected by the UK Innovate Staff.

2023 RECIPIENT

CHELSEA EX-LUBESKIE Innovation Connect Manager UK Innovate



#### 2023 RECIPIENT LIZ KNAPP

2022

**Commercialization Manager** Office of Technology Commercialization





#### RECIPIENT HOLLY SYMONDS CLARK Senior Commercialization Manager Office of Technology Commercialization

2021 RECIPIENT LAURA HALLIGAN

New Ventures Manager Office of Technology Commercialization



2021 RECIPIENT JACQUELINE J. GREENE Director, Marketing and Communications UK Innovate



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# **SUPPORT** AWARD

The staff person who best practiced the UK Innovate Operating Model principle to support others within the office to complete work activities, projects and goals.

The winner is selected by the UK Innovate Staff.





#### 2023 RECIPIENT MEG BRENNAN

Social Innovation Program Coordinator Office of Technology Commercialization

#### 2022 RECIPIENT

NATALIE DAMRON McCORMICK Senior Contracts Coordinator Office of Technology Commercialization

#### 2021 RECIPIENT

JESSICA DAVIS Data Support Specialist Office of Technology Commercialization



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