


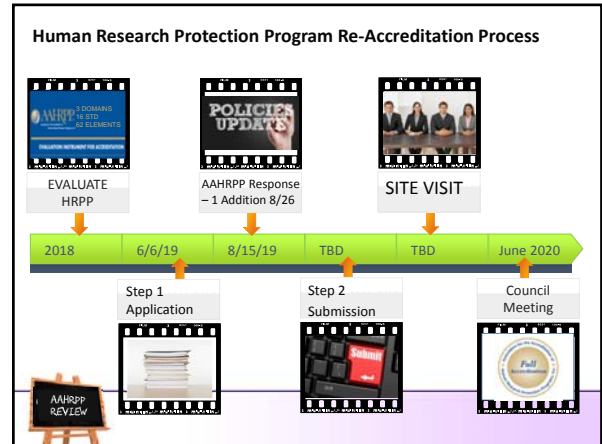


Human Research Protection Program (HRPP) Reaccreditation

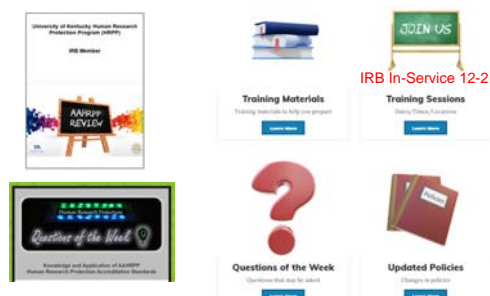


AAHRPP REVIEW

Primary Team
 Helene Lake-Bullock
 Pam Stafford
 Kasandra Lambert
 Belinda Smith

AAHRPP Emails & Website



IRB In-Service 12-2

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Key Points



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Quality Assurance / Quality Improvement Reviews

- Administrative
- Directed
- Abbreviated (Wellness Check)



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Researcher Outreach



Office of Research Integrity (ORI) Office Hours

Meet with ORI staff in an informal setting and get immediate feedback and advice on any IRB-related questions.





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How did UK Transition to Revised Common Rule?

- Based on date of submission
- Follow old rule through completion. Transitioning to new rule would require closing existing and opening new protocol.
- Under Revised Rule



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IRB Authority

- How is authority communicated to the research community?
- Can an IRB decision be overturned by the institution?
- Can the institution disapprove research that has been IRB approved?



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Vote: Who has authority to approve revisions?

- Minor Vote 2- (point to who reviews)
- Minor revisions must be Directive or Non Substantive.
- Major Vote 3 or 4- (point to who reviews)
- Revisions tied to regulatory criteria



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What's New with Expedited Review?

- Revised Rule no longer required Continuing Review for Post 2019 Expedited
- Non-FDA Regulated Expedited research will undergo an Annual Administrative Review (AAR) instead of a Continuing Review (CR)



Transition to Single-IRB



- NIH policy went into effect January 2018
- ORI Reliance Team (Jessica & Joe) >100 Agreements since January 2019
- 1-20-2020 Revised Common Rule will require Single-IRB review for most federally-funded collaborative research.



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Abbreviated Application (AA)

- As of October 1, 2019, investigators submitting a Reliance Request will be asked to submit an "Abbreviated Application (AA)" in E-IRB for their ceded research project.
- Provide prompts and links to requirements or processes handled locally, not by the external IRB (COI, HIPAA, Biosafety)



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Educationally or Economically Disadvantaged, What safeguards do you consider?

Inclusion
Exploitation
Undue Influence
Informed Consent

"under the right circumstances leads to better generalizability of research and a more equitable distribution of the potential benefits of research"
Moira Keane, MA, HR Consultant

Guidance - www.research.uky.edu/uploads/ori-d1390000-research-involving-economically-or-educationally-disadvantaged-persons

Vulnerable Populations



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Informed Consent Document

- How do you evaluate whether consent form

.....

- is understandable,
- is concise, or
- Provides Key Information that meets the reasonable person standard?



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Informed Consent Process

- How do you evaluate the researcher's consent process?
- What suggestions have you made to improve a researcher's process?



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PROCESS is described in the Research Description

- Who:
 - PI may delegate to authorized personnel but ultimately responsible
- When:
 - Ample time for participant
 - Key Information FIRST
 - Prior to research activity
 - Ongoing throughout
- How:
 - Minimize coercion, undue influence, therapeutic misconception
 - Use of visuals, aids, verbal concepts, demos, or learning tools
 - Methods to assess understanding



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Who is required to sign the informed consent document at UK?



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What do federal regulations state about re-consent?

Federal human subject research regulations do not reference the term "re-consent."
...when appropriate, participants will be provided with significant new findings that develop during the research which may relate to their willingness to continue participation (45 CFR 46.116(c)(5)).



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Communicating New Information



See Reconsent Notification Guidance for Considerations and Criteria for Determining Communication Method/Process

www.research.uky.edu/uploads/ori-d1000000-would-you-ever-need-re-consent-research-participant-pdf



PRIMARY IRB REPORTING:

- To regulatory agencies or appropriate officials



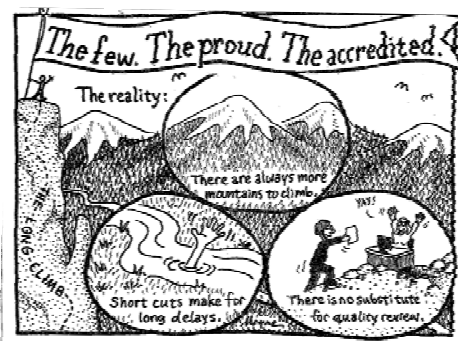
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What does ORI/IRB Promptly Report to AAHRPP?

- Any negative actions taken by a government oversight office (OHRP Determination Letters, FDA Warning Letters or restrictions);
- Any lawsuits (i.e., litigation, arbitration, or settlements initiated) related to human subject research protections; or
- Press coverage (TV, newspaper, online publications) of negative nature regarding the UK HRPP.



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