

IORG #: [REDACTED]
Institution: [REDACTED]
Expires: 12/05/2027

OMB No. 0990-0279
Approved for use through June 30, 2025

U.S. Department of Health and Human Services (HHS) Registration of an Institutional Review Board (IRB)

This form is used by institutions or organizations operating IRBs that review:

- a) Research involving human subjects conducted or supported by the Department of Health and Human Services, or other federal departments or agencies that apply the Federal Policy for the Protection of Human Subjects to such research; and/or**
- b) Clinical investigations regulated by the Food and Drug Administration (FDA) of the Department of Health and Human Services**

This form is to be used for the following purposes:

Fields with an * are required for OHRP IRBs and FDA IRBs

Fields with an † are required for OHRP IRBs but are optional for FDA IRBs

Fields with an ‡ are required for FDA IRBs but are optional for OHRP IRBs

Fields with no symbol are optional for both OHRP IRBs and FDA IRBs

- 1. *Has your institution or organization previously registered an IRB with the Office for Human Research Protections (OHRP)?**

Yes, proceed to section 2 No, proceed to section 3

- 2. *What is your institution or organization (IORG) number?** [REDACTED]

This number was provided by OHRP the first time your institution or organization registered an IRB. If you do not know your IORG number, search for your institution or organization on the OHRP website at <http://ohrp.cit.nih.gov/search/search.aspx> or contact OHRP using the contact information at <http://www.hhs.gov/ohrp/daqi-staff.html> or by telephone at 1-866-447-4777.

- 3. Name of Institution or Organization Operating the IRB(s)**

*Name of Institution or Organization: [REDACTED]

*Mailing Address: **315 or 405 Kinkead Hall**
University of Kentucky

*Street Address (if different from the Mailing Address above):

*City: **Lexington** *State/Province: **KENTUCKY** *Zip/Postal Code: **40506-0057**

*Country (if outside the U.S.):

4. [REDACTED]

5. Contact Person Providing this Registration Information

*First Name: **Pamela** Middle Initial: **A** *Last Name: **Stafford**
Earned Degree(s): **MA** Title or Position: **Associate Director, Office of**
Research Integrity

Name of Institution or Organization (if different from the Name in section 3):

University of Kentucky

*Mailing Address (if different from the Mailing Address in section 3):

314 Kinkead Hall
University of Kentucky

*City: **Lexington** *State/Province: **KENTUCKY** *Zip/Postal Code: **40506-0057**

*Country (if outside the

*Phone: **859 323-7399** *FAX: **859 323-9882** *E-Mail: **pastaf3@uky.edu**

6. IRB Registration Information (to be completed separately for each IRB being renewed/updated or newly registered)

A. *Is this a renewal or update of a registration for an IRB already registered with HHS?

Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: [REDACTED]

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at <http://ohrp.cit.nih.gov/search/search.aspx> or contact OHRP using the contact information at <http://www.hhs.gov/ohrp/daqi-staff.html> or by telephone at 1-866-447-4777)

No, this is a new IRB registration.

B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

[REDACTED] **Med Monday**

C. Location of the IRB

*Mailing Address (if different from the Mailing Address in section 3):

**315 Kinkead Hall
University of Kentucky**

*Street Address of the IRB (if different from the Mailing Address of the IRB):

*City: **Lexington** *State/Province: **KENTUCKY** *Zip/Postal Code: **40506-0057**

*Country (if outside the U.S.):

*Phone: **859 323-7399** *FAX: **859 323-9882** *E-Mail: **pastaf3@uky.edu**

D. Approximate number of full time equivalent positions devoted to the IRB's administrative activities:

4

E. Approximate number of all active protocols (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

297

F. Approximate number of active protocols conducted or supported by HHS (e.g., the National Institutes of Health, Centers for Disease Control and Prevention, etc.) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

82

G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

‡i) Approximate number of active protocols involving FDA-regulated products:

80

‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

<input checked="" type="checkbox"/> human drugs	<input type="checkbox"/> food additives
<input checked="" type="checkbox"/> medical devices	<input type="checkbox"/> color additives
<input checked="" type="checkbox"/> biological	<input type="checkbox"/> other
	Specify

H. IRB Chairperson

*First Name: **Roger** Middle Initial: *Last Name: **Humphries**

Earned Degree(s): **MD** Title or Position: **IRB Chairperson**

Mailing Address (if different from the Mailing Address in section 3):

University of Kentucky

c/o Office of Research Integrity

City: **Lexington** State/Province: **KENTUCKY** Zip/Postal Code: **40506-0057**

Country (if outside the U.S.):

*Phone: **859 323-5908** FAX: *E-Mail: **roger.humphries@uky.edu**

I. IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Humphries, Roger	M	MD	S	Emergency Medicine	Y	Physician Scientist, exp 6/30/25
Jicha, Gregory	M	MD, PhD	S	Neurology	Y	Physician Scientist, Impaired Consent Capacity, exp 6/30/26
Lile, Joshua	M	PhD	S	Behavioral Science	Y	Social Scientist, exp 6/30/26
Duke, Mary	F	MD	S	Geriatrics, Pediatrics, Pathology	Y	Physician Scientist, Impaired Consent Cap., Child Advocate, exp 6/30/26
Rush, Craig	M	PhD	S	Behavioral Science	Y	Vice Chair, Social Scientist, exp 6/30/27
Jordan Courtney, Tosia	F	RN, BS, CCRP	S	Clinical Nursing/ Oncology	Y	Other Scientist, exp 6/30/26
Blyth, Julie	F	BS	N	Japanese culture and communications	N	Nonscientist, Community member, exp 6/30/26
Davenport, Daniel	M	PhD	S	Analytics	Y	Other Scientist, exp 6/30/27
Hanna, Mina	M	MD	S	Pediatrics	Y	Physician Scientist, Child Advocate, exp 6/30/25
Thompson, Mindy	F	MBA	S	Health Sciences, Radiography	Y	Other Scientist, exp 6/30/26

Alternative Members

Logan, T. K.	F	PhD	S	Applied Psychology	Y	Social Scientist, Prisoner Rep, Parental Perspective, exp 6/30/25
Staton, Michelle	F	PhD	S	Social Work	Y	Social Scientist, Prisoner Rep, exp 6/30/25
Howard, Patricia	F	PhD, RN, CEN, CPEN,	S	Nursing	Y	Other Scientist, Child Advocate, Parental Perspective, exp 6/30/25
Clasey, Jody	F	PhD, FACSM	S	Exercise Physiology/Bone Densitometry	Y	Other Scientist, Child Advocate, MED, exp 6/30/26
Hughes, Michele	F	BA	S	Oncology, Psychology	Y	Other Scientist, exp 6/30/25, MED & NMED
Brown, Joe	M	MHS	N	Business	Y	Nonscientist, MED & NMED XX, exp 6/30/26
Fillmore, Mark	M	PhD	S	Psychology	Y	Social Scientist, NMED, exp 6/30/26
Lodder, Robert	M	PhD	S	Pharmacy/ Chemistry	Y	Other Scientist, exp 6/30/25
DiLorenzo, Amy	F	PhD	S	Anesthesiology Education	Y	Other Scientist, Child Advocate, Impaired

							Consent Capacity, exp 6/30/25
Harper, Tamela	F	MHA	S	Biomedical Informatics	Y		Other Scientist, MED & NMED, exp 6/30/25
Burgess, Karl	M	MS	N	Information Systems	Y		Nonscientist, MED & NMED, exp 6/30/25
Vazsonyi, Alexander	M	PhD	S	Family Studies	Y		Child Advocate, Social Scientist, NMED, exp 6/30/26
Mahuwala, Zabeen	F	MD	S	Neurology	Y		Physician scientist, exp 6/30/26
El Khouli, Riham	F	MD, PhD	S	Radiology-Nuclear Med/Molecular Imaging	Y		Physician scientist, exp 6/30/26
Hartman, Ellen	F	MS	S	Fitness Management	Y		Other Scientist, exp 6/30/26
Munker, Reinhold	M	MD	S	Hematology & BMT	Y		Physician Scientist, cancer research, exp 6/30/25
Rao, Madhumathi	F	MD, PhD	S	Nephrology/Bone & Mineral D	Y		Physician Scientist, exp 6/30/27
Haglund, Jillienne	F	PhD	S	Political Science	Y		Social Scientist, exp 6/30/27
Hirschowitz, Edward	M	MD	S	Pulmonary Oncology	Y		Physician Scientist, exp 6/30/25
Jones, Elizabeth	F	LCSW	S	Addictions Counseling/Therapy	N		Social Scientist, Community Member, prisoner rep, exp 6/30/26
Bhakta, Avinash	M	MD	S	Colon & Rectal Surgery	Y		Physician Scientist, exp 6/30/26
Hahn, Ellen	F	PhD, RN	S	Drug Prevention	Y		Social Scientist, CBPR, exp 6/30/26
Smith, Jennifer	F	BS	S	Biology	Y		Other Scientist, exp 6/30/27

NOTES:

Members whose training, background, and occupation would incline them to view scientific activities from the standpoint of someone within a behavioral or biomedical research discipline should be considered a scientist, while members whose training, background, and occupation would incline them to view research activities from a standpoint outside of any biomedical or behavioral scientific discipline should be considered a nonscientist. In addition, the IRB must have members with sufficient knowledge of the specific scientific discipline(s) relevant to the research that it reviews.

Affiliation: Please indicate whether or not each individual (or a member of that person's immediate family) is affiliated (other than as an IRB member) with the institution or organization operating the IRB.

Yes = The IRB member is affiliated with the institution or organization operating the IRB.

No = The individual is not affiliated with the institution or organization operating the IRB.

Alternate Members: An alternate member(s) may be designated, as needed, for a regular voting member(s). An alternate member may vote only when the regular voting member is not voting.

When an institution or organization registers two or more IRBs, all alternate members for all IRBs may be listed on the roster of one IRB, or they may be listed separately with each IRB roster. A primary member of any IRB registered under the same IORG number may serve as an alternate for any comparably qualified member on any other IRB of that institution or organization. Primary members on registered IRBs serving as alternate members do not need to be listed as an alternate on any roster. Each alternate IRB member who replaces a primary member at any given meeting should have experience, expertise, background, professional competence, and knowledge comparable to that of the primary IRB member whom the alternate will replace. Whenever an alternate member substitutes for a primary member of the IRB, the combined requirements of § 46.107(a) and 46.108(b) shall remain satisfied. Whenever this occurs, the minutes of the IRB meeting should indicate clearly that the alternate IRB member has replaced the designated primary IRB member, and include the identity of the replaced primary and the alternate members. If multiple alternate members serve at an IRB meeting, the pairing of primary and alternate members should be indicated.

A. *Is this a renewal or update of a registration for an IRB already registered with HHS?

Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: [REDACTED]

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at <http://ohrp.cit.nih.gov/search/search.aspx> or contact OHRP using the contact information at <http://www.hhs.gov/ohrp/daqi-staff.html> or by telephone at 1-866-447-4777)

No, this is a new IRB registration.

B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

[REDACTED] **Med Tuesday**

C. Location of the IRB

*Mailing Address (if different from the Mailing Address in section 3):

**315 Kinkead Hall
University of Kentucky**

*Street Address of the IRB (if different from the Mailing Address of the IRB):

*City: **Lexington** *State/Province: **KENTUCKY** *Zip/Postal Code: **40506-0057**

*Country (if outside the U.S.):

*Phone: **859 323-7399** *FAX: **859 323-9882** *E-Mail: **pastaf3@uky.edu**

D. Approximate number of full time equivalent positions devoted to the IRB's administrative activities:

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E. Approximate number of all active protocols (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

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F. Approximate number of active protocols conducted or supported by HHS (e.g., the National Institutes of Health, Centers for Disease Control and Prevention, etc.) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

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G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

‡i) Approximate number of active protocols involving FDA-regulated products:

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‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

<input checked="" type="checkbox"/> human drugs	<input type="checkbox"/> food additives
<input checked="" type="checkbox"/> medical devices	<input type="checkbox"/> color additives
<input checked="" type="checkbox"/> biological	<input type="checkbox"/> other
	Specify

H. IRB Chairperson

*First Name: **Stefan** Middle Initial: *Last Name: **Kiessling**

Earned Degree(s): **MD, FASN** Title or Position: **Professor**

Mailing Address (if different from the Mailing Address in section 3):

University of Kentucky

c/o Office of Research Integrity

City: **Lexington** State/Province: **KENTUCKY** Zip/Postal Code: **40506-0057**

Country (if outside the U.S.):

*Phone: **859 257-9428** FAX: *E-Mail: **skies2@email.uky.edu**

I. ♦IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Kiessling, Stefan	M	MD, FASN	S	Pediatrics	Y	Physician Scientist, exp 6/30/25
White, Carol	F	MPH	S	Epidemiology	Y	Other Scientist, CBPR, exp 6/30/25
Skaff, Karen	F	PhD	S	Higher Education	Y	Social Scientist, Parental Perspective, exp 6/30/27
Schwarze, Steven	M	PhD, MLS	S	Cellular Biochemistry & Pathology	Y	Vice Chair, Other Scientist, exp 6/30/26
Avasarala, Jagannadha	M	MD, PhD	S	Neurology	Y	Physician Scientist, exp 6/30/25
Hathaway, Thomas	M	PhD	N	blood banks, retired military	N	Nonscientist, Community Member, exp 6/30/26
Akpunonu, Peter	M	MD	S	Emergency Medicine & Medical Toxicology	Y	Physician Scientist, exp 6/30/26
Baum, Regan	F	PharmD	S	Emergency Medicine	Y	Other Scientist, exp 6/30/26
Schumacher, Lauren	F	PharmD	S	Pharmacy	Y	Other Scientist, exp 6/30/27

Members whose training, background, and occupation would incline them to view scientific activities from the standpoint of someone within a behavioral or biomedical research discipline should be considered a scientist, while members whose training, background, and occupation would incline them to view research activities from a standpoint outside of any biomedical or behavioral scientific discipline should be considered a nonscientist. In addition, the IRB must have members with sufficient knowledge of the specific scientific discipline(s) relevant to the research that it reviews.

Affiliation: Please indicate whether or not each individual (or a member of that person's immediate family) is affiliated (other than as an IRB member) with the institution or organization operating the IRB.

Yes = The IRB member is affiliated with the institution or organization operating the IRB.

No = The individual is not affiliated with the institution or organization operating the IRB.

A. *Is this a renewal or update of a registration for an IRB already registered with HHS?

Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: [REDACTED]

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at <http://ohrp.cit.nih.gov/search/search.aspx> or contact OHRP using the contact information at <http://www.hhs.gov/ohrp/daqi-staff.html> or by telephone at 1-866-447-4777)

No, this is a new IRB registration.

B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

[REDACTED] **Med Thursday**

C. Location of the IRB

*Mailing Address (if different from the Mailing Address in section 3):

**315 Kinkead Hall
University of Kentucky**

*Street Address of the IRB (if different from the Mailing Address of the IRB):

*City: **Lexington** *State/Province: **KENTUCKY** *Zip/Postal Code: **40506-0057**

*Country (if outside the U.S.):

*Phone: **859 323-7399** *FAX: **859 323-9882** *E-Mail: **pastaf3@uky.edu**

D. ♦Approximate number of full time equivalent positions devoted to the IRB's administrative activities: **4**

E. ♦Approximate number of all active protocols (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months): **297**

F. ♦Approximate number of active protocols conducted or supported by HHS (e.g., the National Institutes of Health, Centers for Disease Control and Prevention, etc.) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months): **82**

under an expedited review procedure during the preceding 12 months):

- G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

‡i) Approximate number of active protocols involving FDA-regulated products:

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‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

- | | |
|---|--|
| <input checked="" type="checkbox"/> human drugs | <input type="checkbox"/> food additives |
| <input checked="" type="checkbox"/> medical devices | <input type="checkbox"/> color additives |
| <input checked="" type="checkbox"/> biological | <input type="checkbox"/> other |
| | Specify |

H. IRB Chairperson

*First Name: **Terry** Middle Initial: *Last Name: **Malone**

Earned Degree(s): **Ed.D.** Title or Position: **Professor**

Mailing Address (if different from the Mailing Address in section 3):

University of Kentucky

C/o Office of Research Integrity

City: **Lexington** State/Province: **KENTUCKY** Zip/Postal Code: **40506-0057**

Country (if outside the U.S.):

*Phone: **859 323-1100** FAX: *E-Mail: **trmalol@email.uky.edu**
806

I. ♦IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Malone, Terry	M	Ed.D.	S	PT Assessment	Y	Other Scientist, CBPR, exp 6/30/26
Rice, Linda	F	RN	S	Nursing	N	Other Scientist, Community Member, Parental Perspective, exp 6/30/25
Tran, Tuyen	M	MD	S	Emergency Medicine	N	Physician Scientist, Community Member, exp 6/30/25
Davis, George	M	PharmD, BCPS	S	Pharmacy	Y	Vice Chair, Other Scientist, exp 6/30/25
Yannelli, John	M	PhD	S	Immunotherapy	Y	Other Scientist, exp 6/30/26
Lightner, Donita	F	MD	S	Neurology- Pediatrics	Y	Physician scientist, Child Advocate, exp 6/30/26
McMullen, Colleen	F	MA	S	Physiology	Y	Other Scientist, Parental Perspective, MED & NMED, exp 6/30/27
Gardner, Ed	M	JD	N	Law	N	Nonscientist, Community Member, exp 6/30/26
Lee, Daniel O.	M	MD	S	Neurology	Y	Physician Scientist, exp 6/30/25
Hendrix, Nancy	F	MD	S	OB/GYN	Y	Physician Scientist, pregnant women, exp 6/30/25
Sloan, Susan	F	MSN, APRN	S	Nursing	Y	Other Scientist, exp. 6/30/26

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No, this is a new IRB registration.

B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

[REDACTED] **Med Wednesday**

C. Location of the IRB

*Mailing Address (if different from the Mailing Address in section 3):

**315 Kinkead Hall
University of Kentucky**

*Street Address of the IRB (if different from the Mailing Address of the IRB):

*City: **Lexington** *State/Province: **KENTUCKY** *Zip/Postal Code: **40506-0057**

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*Phone: **859 323-7399** *FAX: **859 323-9882** *E-Mail: **pastaf3@uky.edu**

D. ♦Approximate number of full time equivalent positions devoted to the IRB's administrative activities: **4**

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| <input checked="" type="checkbox"/> medical devices | <input type="checkbox"/> color additives |
| <input checked="" type="checkbox"/> biological | <input type="checkbox"/> other |
| | Specify |

H. IRB Chairperson

*First Name: **Terry** Middle Initial: *Last Name: **Malone**

Earned Degree(s): **Ed.D.** Title or Position: **Professor**

Mailing Address (if different from the Mailing Address in section 3):

University of Kentucky

c/o Office of Research Integrity

City: **Lexington** State/Province: **KENTUCKY** Zip/Postal Code: **40506-0057**

Country (if outside the U.S.):

*Phone: **859 323-1100** FAX: *E-Mail: **trmalol1@email.uky.edu**
806

I. ♦IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Malone, Terry	M	Ed.D.	S	PT Assessment	Y	Other Scientist, exp 6/30/26
Fleischman, Roger	M	MD	S	Hematology/ Oncology	Y	Physician Scientist, exp 6/30/25
Chishti, Aftab	M	MD, FAAP, CSST	S	Pediatrics	Y	Physician Scientist, Child Advocate, exp 6/30/25
Oliva, Frank	M	BS	N	Risk Management	N	Nonscientist, Community Member, exp 6/30/25
Isaacs, Kathy	F	PhD, MSN	S	Neonatal Nursing	Y	Other Scientist, Child Advocate, exp 6/30/25
Whiteman, Gregory	M	ME	N	Education	N	Nonscientist, Community Member, child advocate, exp 6/30/26
Wei, Qiou	M	MD, PhD	S	Toxicology & Cancer Biology	Y	Other Scientist, exp 6/30/27
Forenback, Denece	F	MSN, BSN, RN, CSN	S	Clinical Nursing	Y	Other Scientist, exp 6/30/25
Quintero, George	M	PhD	S	Physiology	Y	Vice Chair, Other Scientist, exp 6/30/25
Chalkley, Joshua	M	DO	S	Neurology	Y	Physician Scientist, exp 6/30/26

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